



Country: Suriname
Initiation Plan

Project Title: Transition phase to Implement Suriname Readiness Preparation Proposal (R-PP)

Expected CP Outcome(s):

Outcome 1: By 2016, most excluded, marginalised groups and vulnerable populations benefit from reinforced social, economic, and environmental programmes towards accelerated and equitable MDG progress, meaningful participation, and a better quality of life for all beyond the MDG agenda.

Outcome 2: By 2016, government formulates and implements harmonized, equity focused, and gender sensitive MDG-oriented key legislation, policies, and budgets in accordance with the government's commitments to international human rights conventions and other internationally agreed development goals.

Initiation Plan Start Date: 30th August 2013

Initiation Plan End Date: 31 March 2014

Implementing Partner: National Institute for Environment and Development Suriname

Brief Description

On the 21st March 2013 the Suriname Readiness Preparation Proposal (R-PP) was approved by the FCPF's Participants Committee in Washington DC during the PC14. This is an achievement which would not have been possible without the technical support and feedback of the FCPF.

With this milestone, Suriname stands at the transition phase towards implementation of the R-PP. A transition Initiation Plan leading up to implementation of the Suriname R-PP is being developed. In this regard, Suriname is requesting financial support from the FCPF in the form of the 200,000 US\$ Preparation Grant which is available to participating countries, but which was never used by Suriname during the preparation of the R-PP.

The idea behind the transition project is to maintain the momentum gained in Suriname during the finalization of the R-PP.

During the transition phase, between the approval and the implementation of the R-PP Project Document respectively March 2013 and March 2014, it is necessary to prepare all stakeholders involved for the effective and efficient implementation of the R-PP. The Project Document will also be formulated during this transition phase for implementation of the activities to be financed by FCPF and with UNDP as delivery partner.

<p>Programme Period: August 2013 – March 2014</p> <p>UNDAP Programme Component: United Nations Development Assistance Framework 2012 - 2016</p> <p>Atlas Award ID: 00075886</p> <p>PAC Meeting Date: Tuesday 20th August</p>	<table> <tr> <td>Total resources required</td> <td>476,000 USD</td> </tr> <tr> <td colspan="2">Total allocated resources:</td> </tr> <tr> <td>• Guiana Shield Facility</td> <td>100,000 USD</td> </tr> <tr> <td>• UNDP Suriname</td> <td>50,000 USD</td> </tr> <tr> <td>• UN-REDD Programme</td> <td>50,000 USD</td> </tr> <tr> <td>• FCPF/World Bank</td> <td>200,000 USD</td> </tr> <tr> <td colspan="2">Parallel Co-financing</td> </tr> <tr> <td>• WWF Guianas</td> <td>76,000 USD</td> </tr> <tr> <td>In-kind Contributions</td> <td>NIMOS</td> </tr> </table>	Total resources required	476,000 USD	Total allocated resources:		• Guiana Shield Facility	100,000 USD	• UNDP Suriname	50,000 USD	• UN-REDD Programme	50,000 USD	• FCPF/World Bank	200,000 USD	Parallel Co-financing		• WWF Guianas	76,000 USD	In-kind Contributions	NIMOS
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Agreed by UNDP:  Thomas W. Gittens Country Director

I. PURPOSE

Suriname has developed a Readiness Preparation Proposal for REDD+ (R-PP) with the support of the Guiana Shield Facility (GSF) and UNDP, among others. The R-PP has been submitted and formally presented to the Forest Carbon Partnership Facility (FCPF) of the World Bank during the Participants Committee #14 (PC 14) in March 2013. The R-PP has been approved on the 21st of March 2013 and the accompanying resolution containing 7 key issues has been resolved and approved on July 8th 2013.

In the R-PP it is stated that actual implementation will start in 2014. Meanwhile, given the approval of the FCPF, the Government of Suriname will start preparatory activities during a so-called transition phase, prior to R-PP implementation, with the view of furthering the process of REDD+ Readiness.

The Climate Change Compatible Development whom most recently successfully coordinated the R-PP finalization has been dissolved. The National Institute for Environment and Development (NIMOS) has been entrusted with the responsibility of main implementing partner and is responsible for coordinating the implementation of the R-PP.

During the period of completion of completeness check by the FMT and no objection of the PC (July 2013) till implementation (early 2014), called the transition phase, preparatory activities are planned to take place for a smooth and efficient start up.

II. EXPECTED OUTPUT

- 1) Stakeholders engaged and aware of Readiness phase for REDD+ implementation The purpose is to widely share the contents of the R-PP and raise awareness on the proposed activities as written in the R-PP document. In addition, the stakeholder engagement process will be continued through dialogues on different levels.
- 2) Strengthened Institutional and technical capacity within NIMOS - In order for NIMOS, as the Technical Focal Point, to execute (coordinate) the R-PP implementation, several conditions need to be fulfilled such as internal institutional arrangements, increased technical capacity, and expansion of office space. During the transition phase, additional expertise will be gradually contracted by NIMOS.
- 3) Fundraising and partnership strategy for R-PP implementation prepared - Considering the current financing gap for R-PP implementation, it is essential that due attention is given to fundraising and partnership which is why it is programmed to be an ongoing activity.
- 4) Project Document for R-PP implementation formulated- Following the due diligence to be conducted by UNDP, the formulation of a Project Document (ProDoc) will be required prior to the implementation of the R-PP. Local and international expertise will be contracted to formulate the ProDoc together with UNDP.

The Specific activities during the Initiation Plan are as follow:

- 1) *Continuation of stakeholder engagement, communication and awareness activities*

- 2) *Capacity strengthening activities within NIMOS*
- 3) *Fundraising and partnership activities for R-PP implementation*
- 4) *Formulation of the Project Document for R-PP implementation*

1. *Continuation of communication and awareness activities*

The purpose of these activities is to widely share the contents of the R-PP and raise awareness on the proposed activities as written in the R-PP document.

Three categories of dialogues are planned:

- *Local dialogues (approx. 2), which will be held in the interior, with forest dependent communities.*
- *Sectorial dialogues, which will be held with stakeholders from various sectors such as private sector, NGO's, development organizations, policy makers, gender and youth organizations.*
- *One National dialogue, which will be held in Paramaribo with a broad representation of stakeholders ranging from government officials to forest dependent communities*

Other activities planned are:

- *A regional REDD+ Conference will be organized: countries that have experience with the implementation of REDD+ programs will be invited to share their experiences and lessons learned among Suriname, Guyana, Belize, Costa Rica, Brazil, Peru, Ecuador, etc.*
- *Media publications will be produced and broadcasted: three TV documentaries will be produced on the topics (1) REDD+ and Land Rights, (2) REDD+ and Gold mining and (3) REDD+ and Forestry. TV coverage is mostly restricted to the coastal area of Suriname. Therefore video productions will be reproduced on DVDs for broadcasting in the interior. Other publicity events such as talk shows and information programs will be used for sharing information. Also, within the NIMOS website, a link to the REDD+ website will be established, containing all relevant information of the REDD+ Unit.*
- *The group of REDD+ Assistants will be expanded and continued to be trained: these self-selected tribal representatives play a major role in conveying information about REDD+ to their own tribes. Their contribution is essential during, amongst others, local and sectoral dialogues. The more REDD+ Assistants are trained (quantitative as well as qualitative), the better the results of the communications and awareness activities.*
- *The Major Groups Collective (MGC) will be established and will conduct approx. four meetings. The MGC will provide advice to NIMOS regarding the preparation of the management arrangements that need to be established to support the implementation of the R-PP.*

2. *Capacity building activities within NIMOS*

NIMOS has been part of the R-PP finalization process with key staff acting as resource persons and also participating in the R-PP drafting group thereby gaining and building valuable knowledge regards the R-PP process. NIMOS in the approved R-PP has been designated as the coordinating entity for the implementation of the R-PP. The capacity assessment report done during R-PP finalization comments that a number of the tasks and responsibilities related to REDD+ are new and additional, and will require an expansion of capacities of staff as well as the existing number of personnel.

In order for NIMOS, as the Technical Focal Point, to execute the R-PP, several conditions need to be fulfilled such as internal institutional arrangements, increased technical capacity, and expansion of office space. Although NIMOS has built expertise on procurement and financial reporting, based on 15 years of experience, the financial management division needs

to be trained in procurement and reporting rules of donor agencies in order to effectively and efficiently procure services and produce financial reporting. The capacity building focus operations side of project management as well as substantial part of REDD+.

The following required additional expertise will be recruited by NIMOS¹:

1. *Technical REDD+ officer. To gather fundamental information regarding REDD+ options and implications for Suriname, to be used for communications and awareness activities, amongst others. Fulltime position.*
2. *REDD+ negotiations and climate change expert. To provide insights regarding international REDD+ and climate change related issues. Part time position.*
3. *Environmental legal and policy expert. To provide the local legislative context regarding REDD+ issues. Part time position.*
4. *Project Coordinator. Responsible for daily coordination of the project and project support staff. Full-time position.*
5. *Project administrator. To manage project finances, contracts and administrations. Fulltime position.*
6. *Fundraising and partnership liaison. To seek and attract national and international funding/partnership. Part time position.*
7. *Communications and Dialogue expert. To support communications and awareness activities and dialogues. Part-time position.*
8. *R-PP Project Manager. Among others, to be responsible for the coordination of setting up the institutional arrangements and preparations to hire other expertise necessary for the implementation of the R-PP. Fulltime position.*

3. *Fundraising and partnership activities for R-PP implementation*

Considering the current financing gap of approximately USD 12 mln, it is essential that due attention is given to fundraising and partnership in the startup phase, with fundraising/partnership programmed to be an ongoing activity. Major efforts are needed to attract external funding for the development of Suriname's REDD+ Strategy and Readiness program. Initial fundraising will focus on engaging REDD+ climate funds, as well as on bilateral and local private climate funding. Activities will vary between formal approaches via letters, direct lobbying, visits to international forums, organizing fundraising events and crowd funding via digital media.

Potential sources of funding/partnership, as well as the suggested approaches are listed in the following table:

¹ Part time staff positions apply to a working day of less than 8 hours.

Potential Source	Approach
UN-REDD	Request for continued support
Guiana Shield Facility	Request for continued support
WWF Guianas	Request for continued support
KfW/Germany	Request for continued support
Suriname private sector	Fundraising event and direct lobbying with large companies
Suriname Conservation Foundation	Direct lobby
Diaspora and global community	Suriname REDD+ Website launch with direct support option via paypal
American Embassy Suriname	Formal request for support with reference to the R-PP
JICA	Formal request for support, with reference to the R-PP
Australia's International Forest Carbon Initiative	Formal request for support, with reference to the R-PP

4. Formulation of the Project Document for R-PP implementation

Following and complementary to the due diligence conducted by UNDP, the formulation of a Project Document (ProDoc) will be required for the implementation of the R-PP. Local and international expertise will be contracted to formulate the ProDoc together with NIMOS and other National stakeholders based on the approved R-PP whilst taking into account the results of the Due diligence as carried out by UNDP. The main task of the local expert(s) will be to gather and provide the necessary data and information about the national/local circumstances for or to be included in the ProDoc. The local expert(s) will function as the local counterpart of the UNDP-ProDoc Team.

III. MANAGEMENT ARRANGEMENTS

Funding sources: UNDP Suriname, Guiana Shield Facility, UN-REDD Programme, and Forest Carbon Partnership Facility. WWF Guianas funding is parallel financing.

Implementing Partner:

National Institute for Environment and Development Suriname (NIMOS) is a well-established, skilled organization with 15 years of experience in project management. NIMOS was established in 1998 as the technical working arm of the National Environmental Council and operates under the responsibility of the Cabinet of the President of Suriname.

The Implementing Partner is responsible for implementing the activities and attaining the objectives and outputs as stipulated in the Initiation Plan.

The Project Manager (NPM) within the National Institute for Environment and Development Suriname (NIMOS) will be responsible for coordinating implementation of the Initiation Plan and for meeting the objectives of the project. The Programme Management Unit comprised of the

Project Coordinator and Project Assistant and other staff will be responsible for the day to day management of the project under the guidance of the Project Manager.

NIMOS mission statement is:

To initiate the development of a national legal and institutional framework for environmental Policy and management in the interest of sustainable development of the Republic of Suriname.

The objectives of NIMOS are:

- i. To establish national environmental legislation
- ii. To prepare and implement the environmental regulations
- iii. To coordinate, manage and enforce environmental regulations

NIMOS, headed by the General Director, consists currently of 5 departments:

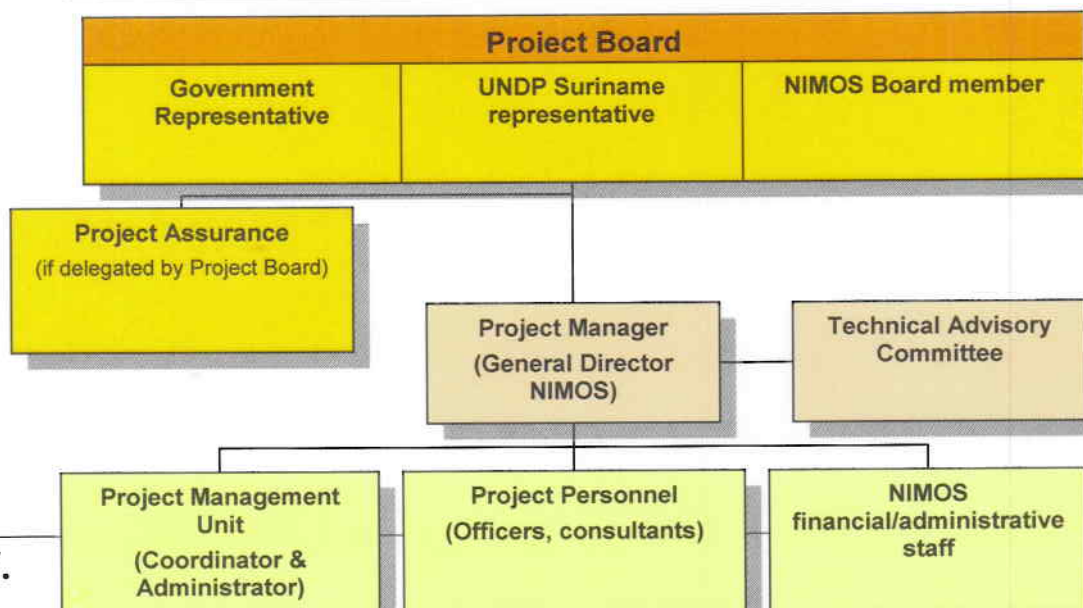
1. Administration
2. Environmental & Social Assessment
3. Environmental Monitoring & Enforcement
4. Environmental Legal Services
5. Environmental Education & Public Outreach

The UNDP will at the request of NIMOS recruit project personnel and support consultants: Project Coordinator, Project Assistant, Communications and Dialogue Officer, REDD+ Technical Officer, Environmental and Legal Consultant, REDD+ and Climate Change Negotiations Consultant, Fundraising Liaison, REDD+ Project Manager.

Advising Bodies: The Project Board, consisting of a representative of the Cabinet of the President (Suriname Government representative), a UNDP representative and a NIMOS Board member, will play a critical role in overall guidance and direction of the project, project monitoring and evaluation.

The Technical Advisory Committee, consisting of a representative from the Climate Compatible Development Unit, members of the Major Groups Collective and representatives from the Ministries of ROGB, NH, RO, and BuZa, will provide expert advice to the Project Manager and may provide technical input and recommendations to the Project Board on project activities, in particular related to stakeholder engagement.

Project Organisation Structure



IV.

OUTPUT 1: Stakeholders engaged and aware of Readiness phase for REDD+ implementation

Activity Result 1 (Atlas Activity ID)	Continuation of stakeholder engagement, communication and awareness activities	Start Date: Aug, 2013 End Date: March, 2014
Purpose	Increase stakeholder engagement, awareness and understanding	
Description	Execute local, sectorial and national dialogues Organize regional REDD+ conference Establish Major Groups Collective Formulate media plan and implement media activities Maintain REDD+ website Expand number of REDD+ assistants and continue their training	
Quality Criteria how/with what indicators the quality of the activity result will be measured?	Quality Method Means of verification. what method will be used to determine if quality criteria has been met?	Date of Assessment When will the assessment of quality be performed?
1. Number of dialogues, meetings and workshops with stakeholders 2. Documentaries 3. Number of trained REDD+ assistants	1. Progress reports 2. Meeting reports	Midterm End

OUTPUT 2: Institutional and technical capacity of NIMOS improved for Technical Focal Point REDD+

Activity Result 1 (Atlas Activity ID)	Increased capacity NIMOS	Start Date: Aug, 2013 End Date: March, 2014
Purpose	Capacity building for REDD+ Technical Focal Point	

Description	<i>Project management Develop ToR for PMU Develop ToRs and recruit staff and consultant Training for financial management Procure office space and furniture</i>	
Quality Criteria <i>how/with what indicators the quality of the activity result will be measured?</i>	Quality Method <i>Means of verification. what method will be used to determine if quality criteria has been met?</i>	Date of Assessment <i>When will the assessment of quality be performed?</i>
<ol style="list-style-type: none"> 1. ToR for PMU 2. ToRs for additional staff 3. Permanent and consistent staff to support coordination of REDD+ activities 4. Training schedule for financial management 	<ol style="list-style-type: none"> 1. Progress reports 	Midterm End

OUTPUT 3: Fundraising and partnership strategy for R-PP implementation prepared

Activity Result 1 (Atlas Activity ID)	<i>Fundraising and partnership strategy prepared Implementation of strategy Identification of additional resources and new partnership Pledges for additional funds</i>	Start Date: September 2013 End Date: Feb, 2014
Purpose	<i>Addressing the financing gap to implement the R-PP</i>	
Description	<i>Recruit consultant for fundraising Initiate formal requests to donors/partners and start lobby Organize fundraising events</i>	
Quality Criteria <i>how/with what indicators the quality of the activity result will be measured?</i>	Quality Method <i>Means of verification. what method will be used to determine if quality criteria has been met?</i>	Date of Assessment <i>When will the assessment of quality be performed?</i>
<ol style="list-style-type: none"> 1. Formal requests to donor agencies 2. Amount of funding available 3. Number of fundraising events 4. Number of visits to international fora 5. Percentage of committed or potential R-PP co financing resources mobilized 	<ol style="list-style-type: none"> 1. Progress reports 	Midterm End

OUTPUT 4: Final Project Document for R-PP implementation

Activity Result 1 (Atlas Activity ID)	<i>Project Document for R-PP implementation formulated</i>	Start Date: Oct, 2013 End Date: Feb, 2014
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Purpose	<i>Final R-PP Project Document formulated</i>	
Description	<i>Recruit local and International expertise Draft ProDoc Comment on and revise ProDoc Submit ProDoc to UNDP</i>	
Quality Criteria <i>how/with what indicators the quality of the activity result will be measured?</i>	Quality Method <i>Means of verification. what method will be used to determine if quality criteria has been met?</i>	Date of Assessment <i>When will the assessment of quality be performed?</i>
<i>1. Final ProDoc</i>	<i>1. ProDoc accepted by UNDP</i>	<i>End</i>

V. ANNUAL WORK PLAN

(Detailed Work plan in Excel)

Period: August 2013 – March 2014

EXPECTED OUTPUTS	PLANNED ACTIVITIES	TIMEFRAME			RESPONSIBLE PARTY	PLANNED BUDGET		
		2013 Q3	2013 Q4	2014 Q1		Funding Source	Budget Description	Amount (US\$)
Output 1 <i>Stakeholders engaged and aware of Readiness phase for REDD+ implementation</i> Baseline: The R-PP finalization project has resulted in increased stakeholder engagement, and awareness on the REDD+/ R-PP <i>Indicators:</i> a) Number of meetings and workshops with stakeholders b) Documentaries c) KAP survey results d) Number of trained REDD+ assistants	1.1 Execute local, sectorial and national dialogues		X	X	NIMOS	GSF	75700 – Workshops & Conferences	60,000
	1.2 Organize regional REDD+ conference	X		X	NIMOS			
	1.3 Establish Major Groups Collective	X	X	X	NIMOS	GSF	75700- Workshops & Conferences	22,000
	1.4 Formulate media plan and implement media activities (Documentaries, airtime and DVD reproduction)					FCPF-WB		
	1.5 Establish and maintain REDD+ website	X	X	X	NIMOS			
	1.6 Expand number of REDD+ Assistants and continue training	X	X	X	NIMOS	GSF	75700- Workshops & Conferences	18,000
							Total output 1	122,500
Output 2 Institutional and	2.1 Develop ToR and recruit Program Management Unit			X	NIMOS		71400 - Contractual	71,700

EXPECTED OUTPUTS	PLANNED ACTIVITIES	TIMEFRAME			RESPONSIBLE PARTY	PLANNED BUDGET			
		2013 Q3	2013 Q4	2014 Q1		Funding Source	Budget Description	Amount (US\$)	
<p>technical capacity of NIMOS improved for Technical Focal Point REDD+</p> <p>Baseline: insufficient capacity for R-PP/REDD+ coordination responsibilities and task at NIMOS</p> <p><i>Indicators:</i></p> <p>a) ToR/contract for Program Management Unit</p> <p>b) ToRs/contract for additional staff knowledgeable and skilled staff in place to support coordination of REDD+ activities</p> <p>d) Training schedule for financial management</p>	2.2 Develop ToRs and recruit consultants	X	X	X		FCPF-WB	Services		
	2.3 Recruitment service provider for training of financial staff	X					71300 – Local Consultants	59,400	
	2.4 Training of financial staff	X					74500 - Miscellaneous costs	600	
								6,000	
						NIMOS	FCPF /WB	71400 – Contractual Services	
							UNDP	73100 – Rent and maintenance premises	10,000
							UNREDD/	73100 – Rent and maintenance premises	4,000
		2.5 Rent office space and purchase furniture for PMU	X	X	X		FCPF-WB	73100 – Rent and maintenance premises	4,000
							UNDP	72220 - Furniture	10,000
								Total output 2	165,700
Output 3 Fundraising and partnership strategy for R-PP	3.1 Contract fundraising expert	X	X	X		FCPF /WB	71200 – Contractual Services	15,100	
	3.2 Initiate formal requests to donors and start lobby	X	X	X		FCPF /WB	71600 – Travel Expense	15,000	

EXPECTED OUTPUTS	PLANNED ACTIVITIES	TIMEFRAME			RESPONSIBLE PARTY	PLANNED BUDGET		
		2013 Q3	2013 Q4	2014 Q1		Funding Source	Budget Description	Amount (US\$)
implementation prepared Baseline: A list of Potential sources of funding / partnership, as well as the suggested approaches <i>Indicators:</i> a) Formal requests to donors and partners b) Additional funding identified c) Additional funding pledge d) # of partnerships prepared e) Number of fundraising events f) Number of visits to international fora	3.3 Organize fundraising events	X	X	X	NIMOS	UN-REDD	75700 – Workshops & Conferences	45,000
							UNDP	74200 – Audio-visual and print costs
							Total output 3	85,100
Output 4 Project Document for R-PP implementation formulated <i>Indicators:</i> a) Final ProDoc for R-PP	4.1 Attract local expertise (2x) in addition to UNDP consultants team 4.2 Formulate ProDoc		X		NIMOS, UNDP	UNDP	71200 – Local Consultants	9,500
							UNDP	71300- International Consultants
						UNDP	74500 - Miscellaneous costs	500
						FCPF	74500 - Miscellaneous costs	5,700

